



# UCC Questionnaire

## UCC FILING INFORMATION CHECKLIST/QUESTIONNAIRE

**IMPORTANT:** Identifying the correct, *legal* name of your customer/debtor (the “Debtor”) is essential to effectively filing your financing statement and all related filings. If you do not obtain and confirm the full, correct, legal name of the Debtor, then your filing will not be afforded full, and in some instances, any, legal protection. As a result, your collateral may not be secured, or your security interest might not be entitled to priority over other secured creditors. Please recall that the customer’s name is not always the same as the name of the “Debtor” for the purpose of filing your financing statement.

Therefore, you should confirm that you have provided Masuda, Funai with the correct, legal name of your Debtor. In addition, the other information required by this Form must also be correct to ensure the proper filing and perfection of your security interest in the collateral.

Before transmitting the information requested below, please make sure the information you are providing Masuda, Funai has been investigated to ensure it is accurate, complete, and current. Do not rely solely on the customer to provide you with this information!

Unless specifically requested to do so by our client in writing, Masuda, Funai will not conduct any investigation with respect to the information provided by our client to confirm its accuracy. We will rely and utilize on the information provided by our client in preparing and filing your financing statements and other documents. If you have any doubt as to the accuracy of the information you are providing Masuda, Funai, please contact us immediately, so we can assist you in confirming the information. Also, please note that even though Masuda, Funai may provide assistance in attempting to determine the name of the Debtor, it is your obligation to confirm that the name of the entity/person is accurate and completed.

1. Provide the exact full, legal name of Debtor: \_\_\_\_\_  
\_\_\_\_\_

1A. Has there been any change of Debtor’s legal name within the past 5 years? [If yes, provide list of all such names.]  
\_\_\_\_\_  
\_\_\_\_\_

1B. Does the Debtor operate or conduct business under any other business names? [If yes, provide list]  
\_\_\_\_\_  
\_\_\_\_\_

1C. Has there been any change in the ownership of Debtor’s business within the past 5 years? [If yes, provide list of prior owners] \_\_\_\_\_  
\_\_\_\_\_

2. The Debtor Entity is a/an:  
 Individual             Partnership             Limited Partnership  
 Corporation             LLC                         Other \_\_\_\_\_

3. State of Organization (If a corporation, LLC, Limited Partnership or other entity, state where Debtor is organized): \_\_\_\_\_

